Neurophotonics

Neurophotonics.SPIEDigitalLibrary.org

Near-infrared measurements of brain oxygenation in stroke

François Moreau Runze Yang Vivek Nambiar Andrew M. Demchuk Jeff F. Dunn



Near-infrared measurements of brain oxygenation in stroke

François Moreau, a,b,* Runze Yang, c,d Vivek Nambiar, b,e Andrew M. Demchuk, b,d and Jeff F. Dunnc,d,f aUniversité de Sherbrooke, Department of Medicine, CHUS-Hôpital Fleurimont 3001, 12e Avenue Nord, bureau 6501, Québec, Sherbrooke J1H

bCalgary Stroke Program, Department of Clinical Neurosciences, Foothills Medical Centre, 12th Floor, 1403-29th Street NW Calgary, Alberta T2N 2T9, Canada

^cUniversity of Calgary, Department of Radiology, Foothills Medical Centre, Room 812, North Tower, 1403-29th Street NW Calgary, Alberta T2N

^dUniversity of Calgary, Hotchkiss Brain Institute, Health Research Innovation Centre, Room 1A10, 3330 Hospital Drive NW, Calgary, Alberta T2N 4N1, Canada

^eAmrita Institute Medical Sciences, Department of Neurology, Center of Neurosciences, Ponekkara, Kochi 682041, India

University of Calgary, Experimental Imaging Center, TRW building, Basement level P2, Foothills Medical Centre, 1403-29th Street NW Calgary, Alberta T2N 2T9, Canada

Abstract. We investigated the feasibility of using frequency-domain near-infrared spectroscopy (fdNIRS) to study brain oxygenation in the first few hours of stroke onset. The OxiplexTS[®] fdNIRS system was used in this study. Using a standard probing protocol based on surface landmarks, we measured brain tHb and S_tO₂ in healthy volunteers, cadavers, and acute stroke patients within 9 h of stroke onset and 3 days later. We obtained measurements from 11 controls, 5 cadavers, and 5 acute stroke patients. StO2 values were significantly lower in cadavers compared to the controls and stroke patients. Each stroke patient had at least one area with reduced S_tO₂ on the stroke side compared to the contralateral side. The evolution of tHb and S_tO₂ at 3 days differed depending on whether a large infarct occurred. This study shows the proof of principle that quantified measurements of brain oxygenation using NIRS could be used in the hectic environment of acute stroke management. It also highlights the current technical limitations and future challenges in the development of this unique bedside monitoring tool for stroke. © 2016 Society of Photo-Optical Instrumentation Engineers (SPIE) [DOI: 10.1117/1. NPh.3.3.031403]

Keywords: stroke; ischemic; brain imaging; near-infrared.

Paper 15022SSRRR received May 1, 2015; accepted for publication Jan. 13, 2016; published online Feb. 11, 2016.

Introduction

Stroke involves a major ischemic event, so it follows that there will also be major changes in brain tissue oxygenation. Positron emission tomography (PET) can be considered the gold standard for determining oxygen extraction fraction (OEF; the amount of oxygen extracted from the blood) and has been widely used to study brain oxygenation in a variety of conditions. 1-7 However, in the setting of acute stroke management, PET is not a reasonable option for most centers. Near-infrared spectroscopy (NIRS) is a noninvasive and low-cost technique that can measure oxyhemoglobin (HbO₂) and deoxyhemoglobin concentrations (HHb) at bedside. In this paper, we measure hemoglobin saturation in the microvasculature as an index of tissue oxygenation.

NIRS uses the standard principles of spectroscopy to measure the absorption of light at various wavelengths. By measuring the light attenuation at two different wavelengths of 690 and 830 nm, one can obtain data related to the concentrations of the chromophores HbO₂ and HHb.

Previous studies of NIRS in stroke mainly used continuous wave NIRS (cwNIRS).^{8,9} This method can measure changes in NIRS parameters from a baseline but cannot obtain absolute values of each parameter, which is needed to compare oxygenation levels between the right and left side of an interrogated tissue,

between subjects, or with an individual subject over days. Studies of acute stroke using cwNIRS have thus focused on variations of the NIRS signal according to head position, ¹⁰ side to side difference of low-frequency oscillations of the signal,11 and change over time in various situations. 12,13

Frequency-domain NIRS (fdNIRS)¹⁴ uses an intensitymodulated light source allowing calculations of both the absorption and the scattering coefficient of the interrogated tissue between the sources and the detector. Accounting for scattering allows the calculation of a quantitative absorption coefficient and quantitative values of HbO₂ and HHb. By measuring these two parameters, total hemoglobin content ($tHb = HbO_2 + HHb$) and hemoglobin oxygen saturation $(S_tO_2 = HbO_2/tHb)$ can be calculated. It has been used successfully to assess other conditions than acute ischemic stroke. 15,16

tHb is related to cerebral blood volume (CBV). 17 If the concentration of hemoglobin is constant in blood, a higher concentration of tHb represents a higher proportion of blood in a particular volume, a surrogate CBV.

Arterial HbO₂ is very close to CaO₂ (arterial oxygen content, neglecting dissolved oxygen in blood), yet HbO2 measured in this study represents mean oxygen content of microvascular blood from vessels prior (arterial capillary part) and after (venous capillary part) oxygen extraction. StO2 is the microvascular oxyhemoglobin saturation, and it is inversely related the

2329-423X/2016/\$25.00 @ 2016 SPIF

^{*}Address all correspondence to: François Moreau, E-mail: francois.moreau@

arteriovenous oxygen content difference (AVDO₂), the quantity of oxygen extracted from the capillary path.

Oxygen parameters of ischemia measured by PET [CBF, OEF, and cerebral metabolic rate for oxygen (CMRO₂) (CBF \times AVDO₂)] have shown strong correlation, if duration of ischemia is taken into account, with final infarction in animal models. ^{18–20} Closely related NIRS parameters may show the same promise if it can easily be applied to the acute stroke clinical setting.

In this study, we collected measurements of S_tO_2 and tHb using fdNIRS in controls, cadavers, and acute stroke patients to determine whether fdNIRS is a suitable method that can be used to detect the effects of stroke on brain oxygenation—both in an acute stroke management environment and with stroke follow-up.

2 Methods

2.1 Frequency-Domain Near-Infrared System

The OxiplexTS® fdNIRS system (ISS) was used. The probe consists of one high-sensitivity photomultiplier detector and four fiber optic sources with a minimum separation of 2.0 cm and a maximum separation of 3.5 cm from the detector. The NIRS probe emits light at 690 and 830 nm into the tissue. The intensity of the light is modulated at a frequency of 110 MHz. A custom probe suitable for measurements within the hair was designed by us and produced by ISS (Fig. 1).²¹ The fiber cap was modified such that the fibers and the detector projected out of the rubber probe by ~1 mm. This modification allowed for excellent skin contact even in areas with hair.



Fig. 1 NIRS probe used in this study. Unlike conventional probes, this probe is not flushed with fiber but has protruding fibers instead. This allows measurement in regions with hair, by combing the hair apart and exposing the scalp.

OxiplexTS[®] returns absolute HbO₂ and HHb values using the frequency modulated method. ¹⁴

2.2 Subjects

Ethics approval was obtained by the local Conjoint Health Research Ethics Board, and all subjects provided direct or surrogate informed written consent. The control inclusion criterion was older than 18 years old and informed consent. The exclusion criterion was any known brain pathology. The subject was at rest lying supine.

The inclusion criteria for the cadaver subjects were confirmed death for ≥ 12 h and >18 years old at time of death. Exclusion was any known brain pathology. Age, gender, and time since death data were recorded.

The inclusion criteria for acute stroke patients were ≥18 years old, known symptom onset <9 h and occlusion of the proximal middle cerebral artery or the internal carotid artery on one side confirmed by CT imaging.

2.3 Data Collection and Study Design

The OxiplexTS[®] system was warmed up for 10 min to ensure output laser stability, which is dependent on internal temperature of the system. The probe was then calibrated using a calibration block from ISS with known absorption and scattering properties. This was done every time the system was turned on. The calibration process is automatic according to previously published algorithms from ISS and lasts around 1 min. The absorbtion coefficient (μ_a) of the calibration block is 0.139 cm⁻¹ at a wavelength of 690 nm and 0.133 cm⁻¹ at a wavelength of 690 nm and 0.133 cm⁻¹ at a wavelength of 830 nm. The scattering coefficient (μ_s) of the calibration block is 4.9 cm⁻¹ at 690 nm and 4.2 cm⁻¹ at 830 nm. We accepted a μ_a deviation of 0.02 and a μ_s deviation of 0.1 (sum of deviation of both wavelengths).

The measurement surface was prepared by combing the subject's hair to provide a straight line relatively free of hair. After placing the probe, the "Autobias" function was used to adjust the detector sensitivity. Autobias sets the detector bias voltage, which controls the detector's gain. A larger voltage gives a larger signal, but too large and the linear range of the detector will be passed and the detector may be damaged. Autobias sets this voltage to the best level, avoiding overvoltage or low signal.

Once the measurements begin, the detector is outputting a voltage based on incident light intensity from four different light sources at fixed distance interval (Fig. 1). From the output voltage, the OxiplexTS® system records three parameters that relate to the incident light: alternating AC component of the signal, average DC component of the signal, and phase. The natural log (LnAC, DC, phase) are linearly related to the source–detector separation distance. With four distances at each wavelength, the slope of the line of best fit is determined for each parameter. As these slopes are used to calculate pathlength related absorption and scattering, R^2 values of the slopes are checked to verify linearity of input data. If R^2 was >0.9 the probe was repositioned. If R^2 remained >0.9, then the data were discarded. Only data meeting this accuracy criterion is reported in this paper.

We chose measurement sites according to surface anatomical landmarks (Fig. 2).²³ An imaginary line was drawn from the nasion to the inion (M line on the midline). A second line was drawn from 2 cm behind the 50% mark of the M line to the external auditory meatus (the R line represents the Rolandic

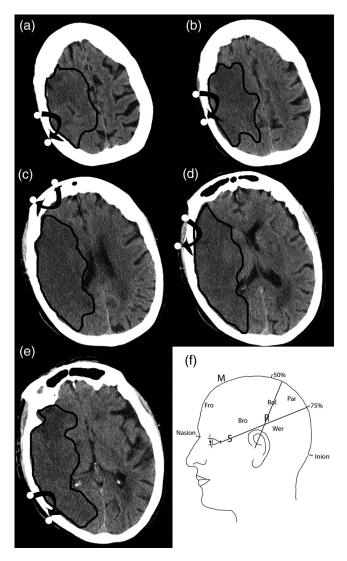
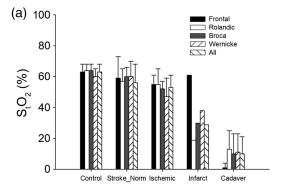


Fig. 2 Diagram showing the location of the measured areas on the head. (a) Par = Parietal; (b) Rol = Rolandic Sulcus; (c) Fro = Frontal; (d) Bro = Broca's area; (e) Wer = Wernicke's area. Parasagittal is not shown. (f) Patient 2 follow-up CT is shown so the reader can understand approximately where the measurements were made. Black lines delineate the infarcted area.

sulcus). A third line was drawn from the lateral canthus to the 75% mark of the M line (S line represents the sylvian fissure). Measurement sites are given below.

1. Frontal (over the forehead 1 cm from midline).



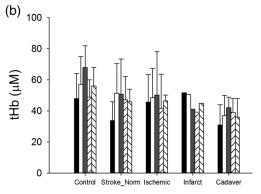


Fig. 3 Bar plot showing mean values of (a) S_tO_2 and (b) tHb for each measurement sites for all controls, the contralateral side in stroke patients, the side ipsilateral to the stroke in acute stroke patients, the stroke side on follow-up measurements of the single stroke patient, who underwent complete infarction (brain tissue appears darker than normal white matter on the follow-up CT) of all measured areas on day 3 except the frontal area (measurement sites shown in Fig. 2 for this patient) and cadavers.

- 2. Parasagittal frontal (50% of the distance between nasion and central sulcus at vertex, source on M line and detector on the chosen side).
- 3. Rolandic (source and detector across line R at 50% of R length, each 2 cm in front or behind the line).
- 4. Broca: above LINE S, in front of LINE R.
- 5. Wernicke: below LINE S, behind LINE R.

Measurements were made bilaterally for each site. Stroke patients were measured within 9 h of symptom onset and followed on day 3 after stroke. The total time from approaching the patient to completion of the exam was ~ 15 min.

Table 1 Mean value (SD) and side to side difference (SD) of fdNIRS parameters for each site in controls. Side to side difference is counted as absolute values (negative and positive left to right difference counted as positive).

	S _t O ₂ (%)	S-S	tHb (μmol/L)	S-S	HbO (μmol/L)	S-S	HHb (μmol/L)	S-S
Frontal	64(5)	4.0 (2.2)	48(16)	7.8 (5.2)	30(12)	4.7 (2.6)	17(6)	3.5 (3.2)
Rolandic	62(6)	4.6 (4.0)	57(18)	10.5 (5.6)	36(14)	9.0 (6.8)	20(5)	2.0 (1.7)
Broca	64(5)	4.6 (1.9)	68(14)	9.3 (6.4)	47(10)	6.6 (5.8)	24(5)	4.0 (3.2)
Wernicke	58(5)	4.3 (2.6)	49(11)	9.1 (7.4)	28 (9)	6.5 (3.6)	21 (4)	4.2 (1.6)
All sites	63(5)	4.4 (2.3)	56(12)	9.1 (6.3)	36(9)	6.9 (5.2)	20 (4)	3.5 (3.1)

2.4 Data Analysis

Between-group comparison of mean measurement values for each brain region was done using a two-factor analysis of variance (ANOVA) using measurement site (e.g., frontal, Broca, etc.) and subject (e.g., cadaver, controls, etc.) as the covariates and StO₂ or tHb as the dependent variable.

To analyze individual areas in a stroke patient, we planned to compare them to a reference range of values for tHb and S_tO₂ from our normal control population. This is similar to what is done in other clinical laboratory tests where a control distribution is measured and outside of that range is considered "abnormal." ²⁴ In brain imaging for stroke, for example, Doppler ultrasound, 25 and computed tomography perfusion studies, 26 it is common practice to asses individual values as relative to the uninvolved side, using an internal control value for comparison. For this purpose, we used the side to side difference for tHb and S_tO₂ calculated as right minus left for every control subject. The values were then averaged and standard deviation was calculated. A 95.45% confidence interval (2 SD from the mean) was calculated and established to be the normal reference range of difference when comparing both sides. This reference range establishes a threshold in which left to right side differences would be considered as being abnormal: the difference is greater than the 95.45% confidence interval of the difference in the normal control population for this measurement site.

To validate the side to side difference reference range approach, we used a sign test to determine if there was a systematic difference between left and right sides in controls. The sign test is used to test the null hypothesis that the median of the distribution is equal to a particular fixed value. The sign test was used to determine whether there was a 50% chance that the S_tO_2 values of the left side of the brain are higher than the right side. The sign test showed that in this dataset, the median probability that the left side StO_2 is higher than the right side S_tO_2 is not significantly different from 50%. As there was no systemic difference between the left and right side measurements, we reported the absolute value of the difference between left and right sides (for controls) and used it as the reference range for normal (95.45% confidence interval) side to side difference.

tHb is used here as a surrogate of $CBV^{17,27,28}$ and S_tO_2 is used as a surrogate of the OEF. These two are favored as the primary parameters to analyze as CBV and OEF are important markers of tissue fate in ischemic stroke in PET studies. 6,18

3 Results

For the controls, n=11, the median age was 43 years old [interquartile range (IQR): 35 to 49] and 55% were male. The mean absolute values for each site and overall mean on each side are shown in Table 1. Out of the 110 measurements planned (5

Table 2 Absolute values (SD^a) and side to side (S-S) difference of fdNIRS oxygen saturation (S_1O_2) and total hemoglobin (tHb) measurements in the five acute stroke patients. Abnormal values compared to the control population are marked by a.

Pt		Area		S _t O2 (%)		tHb (μmol/L)			
	Occlusion		Stroke	Contralateral	S-S	Stroke	Contralateral	S-S	
1	MCA M1 + ICA T	Frontal	52.7 (1) ^a	64.7 (1)	-11.9ª	54.7 (1)	33.3 (2)	+21.4 ^a	
		SFG ^b	50.9 (5) ^a	63.1 (5)	-12.5 ^a	60.4 (3)	69.7 (3)	-9.3	
		Rolandic	59.9 (2)	64.1 (6)	-4.1	55.1 (1)	56.9 (2)	-1.9	
2	MCA M1	Frontal	49.4 (2) ^a	53.2 (1) ^a	-0.8	70.2 (1)	49.1 (1)	+ 29.9 ^a	
		Rolandic	44.0 (2) ^a	47.6 (2) ^a	-2.7	58.5 (1)	52.7 (1)	-3.8	
		Wernicke	38.2 (2) ^a	52.7 (2) ^a	-12.3ª	57.1 (2)	57.8 (1)	-2.2	
		Broca	56.2 (3)	65.5 (2)	-9.3 ^a	81.6 (2) ^a	76.6 (2)	+5.0	
3	MCA M1	Frontal	50.9 (2) ^a	42.0 (2) ^a	+8.8	32.1 (1)	19.5 (1) ^a	+13.4	
		Rolandic	62.2 (2)	58.5 (1)	+3.7	26.8 (1) ^a	31.9 (1) ^a	-5.0	
		Wernicke	56.3 (1)	66.8 (1)	-10.5 ^a	25.8 (1) ^a	36.5 (1)	+10.7	
		Parietal	50.1 (1) ^a	59.8 (1)	-9.0 ^a	25.1 (1) ^a	27.8 (1) ^a	-2.7	
		Broca	54.3 (1)	54.0 (1)	+0.3	28.0 (1) ^a	34.6 (1)	-6.5	
4	MCA M1	Frontal	64.3 (3)	79.8 (2) ^a	-15.4ª	45.7 (3)	42.2 (1)	+3.5	
5	M1+ ICA	Frontal	58.5 (5)	56.4 (4)	+1.8	25.5 (2) ^a	25.2 (2) ^a	+0.4	
		Broca	46.0 (5) ^a	61.4 (2)	-15.5 ^a	41.2 (2)	41.3 (2)	-0.2	

^aSD of single measurements in this table represents the variation over the time of a single measurement. A single measurement lasts around 50 s and data points are recorded at 2 Hz (around 100 data points).

bSFG: this area was posterior and superior to the frontal area and was done in this patient because it was thought to be involved; MCA, middle cerebral artery; ICA, internal carotid artery.

measurements on each side for 11 subjects), 67 values were obtained. Five out of 67 values were discarded because the collected data did not meet the collection criteria. The remaining 62 measurements were distributed primarily in the frontal lobe (22) and Broca's area (22), while the remaining measurements were made in the Rolandic sulcus (10) and the Wernicke's area (8). The number of measurements made on the left side is equal to the number of measurements made on the right side.

For cadavers, n=5 with a median age of 75 years. The median time from death to measurement was 20 h (IQR: 14 to 26). In cadavers, compared to controls, tHb is reduced and the S_tO_2 and [HbO₂] were close to 0. These values were all significantly different from controls, whereas sites were not different within the subject in a two-factor ANOVA (p < 0.0001, Fig. 3).

We examined five acute stroke patients. The median age was 64 years old and two were male. The median time from stroke symptom onset to measurement was 3.5 h (IQR: 3 to 4.5). Out of the 50 measurement sites planned (10/patient), 46 were obtained. Sixteen of the 46 values were discarded because the R^2 fell below 0.9 while all follow-up measurements were accepted. In the stroke subjects, the number of affected side measurements is equal to the number in the unaffected side. Of the 30 usable measurements, there were 10 frontal lobe, 6 Rolandic sulcus, 6 Broca's area, and 4 Wernicke's area. Two

superior frontal gyrus measurements and two parietal measurements were conducted as they were thought to be involved in the stroke. All patients were examined after start of intravenous tissue plasminogen activator.

Table 2 shows the left to right side differences in the stroke patients. The side to side differences, which meet the criteria for "abnormal," are indicated with an asterisk. All acute stroke patients had at least one area with lower S_tO_2 on the stroke side. tHb was either increased on the stroke side or showed no significant change.

Follow-up measurements on day 3 were obtained for three patients (Table 3). Patient 1 recanalized and had a small mainly subcortical infarct at 24 h based on CT. The follow-up measurements for this patient showed no significant side to side difference at day 3. Patient 2 had a major hemispheric infarct at 24 h and the follow-up measurements showed significant reductions in tHb and S_tO_2 . Patient 3 had a small subcortical infarct. Note that the significant S_tO_2 changes from side to side in this patient may be explained by higher than usual values on the contralateral side. Patients 4 and 5 had a large infarct at 24 h (Fig. 4) and significant reductions in StO_2 . Patient 4 was in the intensive care unit on day 3 and could not be measured. Patient 5 died on day 2.

Figure 3 summarizes the findings for each measurement site in controls, the cotralateral side of acute stroke patients and

Table 3 Clinical evolution on day 3: absolute values (SD^a) and side to side (S-S) difference of fdNIRS oxygen saturation (S₁O₂) and total hemoglobin (tHb) measurements in five acute stroke patients. Abnormal values compared to the control population are marked ^a. Follow-up data could not be obtained for patients 4 and 5. Patient 4 was acutely ill in the ICU and patient 5 passed away.

				S _t O ₂ (%)		tHb (μmol/L)			
	Evolution	Stroke	Contralateral	S-S	Stroke	Contralateral	S-S		
1	Small deep infarct	Frontal	54.8 (1)	50.7 (3) ^a	+4.1	35.8 (3)	22.3 (1) ^a	+13.5	
		SFG ^b	56.0 (1)	58.1 (2)	-1.9	40.0 (1)	48 (1)	-5.8	
		Rolandic	50.8 (3) ^a	55.0 (2)	-4.2	37.0 (1)	50.5 (1)	-8.0	
2	Large infarct	Frontal	61.4 (2)	58.1 (1)	+3.3	51.8 (2)	57.6 (1)	-13.5	
		Rolandic	18.7 (4) ^a	49.7 (3) ^a	-30.9 ^a	50.6 (3)	79.0 (1)	-36.9 ^a	
		Wernicke	37.9 (2) ^a	39.2 (1) ^a	-1.3	41.1 (1)	64.2 (1)	-20.0	
		Broca	29.9 (1) ^a	48.3 (1) ^a	-18.4ª	39.2 (1)	59.2 (1)	-16.3	
3	Small deep infarct	Frontal	52.5 (1) ^a	50.2 (2) ^a	+2.3	47.8 (1)	64.1 (1)	+0.8	
		Rolandic	54.7 (2)	79.6 (2) ^a	-25.1ª	22.0 (2) ^a	21.2 (1) ^a	+0.6	
		Wernicke	50.6 (1) ^a	59.0 (1)	-8.4	29.5 (1) ^a	28.9 (2) ^a	-5.0	
		Parietal	_	_	_	35.3 (2)	33.1 (1)	_	
		Broca	58.1 (1)	72.1 (2) ^a	-14.0ª	23.4 (1) ^a	29.4 (.4) ^a	+ 2.2	
4	Large infarct	_			_			_	
5	Large infarct Death	_			_			_	
		_			_			_	

^aSD of single measurements in this table represents the variation over the time of a single measurement. A single measurement lasts around 50 s and data points are recorded at 2 Hz (around 100 data points)

bSFG: this area was anterior to PSF area and was done in this patient because it was thought to be involved.

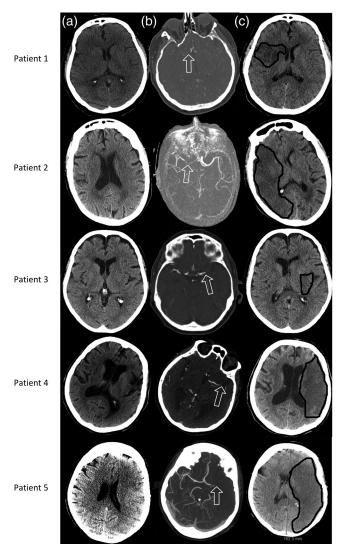


Fig. 4 Clinical imaging done on our five acute stroke patients. (a) Admitting noncontrast CT showing regions affected by stroke. (b) Admitting CT angiography showing occlusion location. (c) 24-h follow up CT showing infarct size and location. White arrows point to the occlusion site on MCA. Black lines delineate the infarcted area on follow-up scan.

ipsilateral side of acute stroke patients and cadavers. The results in an infarcted hemisphere on follow-up are also shown.

We had the opportunity to make a single set of measurements in a patient with a large right middle cerebral artery stroke with hemorrhagic transformation after hemicraniectomy.

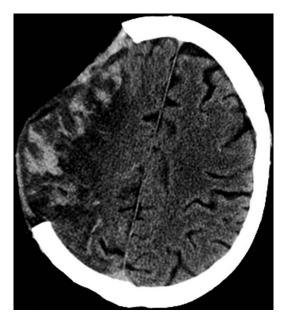


Fig. 5 CT showing a large hemorrhagic infarct for the hemicraniectomy patient.

Measurements on the stroke side were made through scalp without intervening bone except for the parasagittal frontal area (Table 4). The follow-up computed tomography for this patient is shown in Fig. 5. Based on the criteria of mean $+2\times SD$, the values for S_tO_2 and tHb were significantly higher on the stroke side. High tHb is consistent with increased blood volume (extravascular blood) in the setting of a hemorrhage. The high saturation may be explained by hemorrhaged blood still relatively high in oxygenation as it had not passed through a capillary bed or decreased extraction of oxygen due to a decrease in metabolism that resulted from tissue damage.

4 Discussion

Previous studies using cwNIRS in stroke patients show changes in frequency responses, but the methods do not allow for comparing absolute values. This paper shows that fdNIRS can provide novel data allowing for comparison of individual stroke patients and stroke evolution.

We validated the ability of fdNIRS to detect the expected very low oxygen saturation in cadavers as shown in a previous report. 29 We also detected significant changes in tHb and S_tO_2 in a hemicraniectomy patient with hemorrhagic transformation of an ischemic stroke.

Table 4 Absolute values and side to side difference fdNIRS oxygen saturation (S_tO₂) and total hemoglobin (tHb) measurements of a right hemicraniectomy patient with hemorrhagic transformation of an ischemic stroke on the right side.

		S _t O ₂ (%)			tHb (μM)			Oxyhemoglobin (μM)			Deoxyhemoglobin (μ M)		
	R	L	S-S	R	L	S-S	R	L	S-S	R	L	S-S	
Frontal	67 (2)	_	_	51 (2)	_	_	34 (2)	_	_	17 (1)	_	_	
Rolandic	71 (3)	55 (1)	+16 [*]	115 (5)	62 (2)	$+53^{\star}$	82 (6)	34 (1)	$+48^{\star}$	33 (3)	28 (1)	+5	
Broca	68 (4)	58 (2)	$+10^{\star}$	156 (9)	69 (2)	$+88^{\star}$	105 (11)	40(1)	+65 [*]	50(5)	29 (1)	+21 [*]	
PSF	69 (3)	67 (4)	+2	114 (4)	46 (3)	+67 [*]	79 (5)	31 (4)	+48 [*]	35 (2)	15 (3)	+20 [*]	

For the first time, quantitative measurement of brain oxygenation using NIRS was done in acute stroke patients. There was at least one area with low S_tO_2 on the stroke side in all five patients, evidenced by the decreased S_tO_2 compared to the contralateral side. Not all areas involved in the acute stroke showed reduced S_tO_2 , which may be explained by pathophysiological aspects of stroke and their relationship to NIRS parameters.

In healthy tissue, the relationship between metabolism and oxygen supply follows the principles of neurovascular coupling. As the metabolism (CMRO₂) increases, the CBF increases, leading to an increased delivery of oxygen by blood per unit of time, resulting in a stable OEF (CBF and CMRO2 are matched) and a stable S_tO₂.³⁰ When CMRO₂ and CBF are not matched, changes in CMRO₂ or CBF lead to corresponding changes in OEF to maintain optimal tissue oxygenation. In an acute stroke, neurovascular coupling is lost and OEF and its NIRS counterpart (HbO₂ and S_tO₂) are influenced by both the degree of pathologic regional reduction in blood flow (CBF) and the evolution of the infarction process (dictating tissue oxygen demand or CMRO₂). As Boas and Francheschini pointed out, StO2 (as well as OEF) is influenced not only by CMRO₂ but also by CBF relative to CMRO₂.³¹ Apart from the expected situation of an ischemic, high-CMRO₂ (demand) low-CBF brain area with resulting high OEF and correspondingly low StO₂, infarcted tissue with low CMRO₂ will have normal or even reduced OEF and increased StO2 and some reperfused overcompensated areas (increased CBF above actual oxygen demand) may show reduced OEF and increased StO₂. Also, ischemic areas are not affected at the same degree and do not undergo infarction at the same time. 18 Consequently, each situation described here may be present in the same patient at one time point. Each case presented here represents unique situations combining a certain degree of ischemia at onset, the development of infarction and the occurrence of reperfusion. Therefore, it is possible that NIRS measurements could provide information about tissue viability and tissue reperfusion by examining each patient individually.

The absolute values for StO_2 do tend to be lower in stroke patients compared with healthy controls (Tables 2 and 3). Controls had a mean S_tO_2 in the frontal lobe of 63%, which compares favorably with a previous study, which also reported 63% in healthy controls.²⁹ The lowest value for stroke was 38% although in one case, the infarct side had higher S_tO_2 than the contralateral side. Cadaver S_tO_2 values were all 13% or less and do not fall to zero. This is expected given that oxygen can diffuse through tissue and is tightly bound by Hb. The patient condition may vary significantly in terms of factors that will influence brain oxygenation including respiration rate and partial arterial pressure of CO_2 . As these parameters were not controlled for, therefore, we suggest reducing intersubject variability by comparing the infarcted side to the noninfarcted side.

There are several limitations that will affect our measurements in acute stroke patients. There will be little contamination from skin as this is compressed beneath the probe, but there will be significant contamination of the measurement by the skull, dampening the low S_tO_2 signal originating from ischemic tissue. This partial volume effect will mean that StO_2 will never decline to cadaver levels in stroke patients. We may also have failed to measure the true ischemic area in some cases. Using CT to guide probe placement would be helpful, as long as it can be achieved quickly.

This work shows that fdNIRS may be used to detect oxygenation changes in acute stroke. This is important as oxygenation relates to stroke pathophysiology. This study paves the way for future studies using fdNIRS as a rapid, noninvasive tool to assess stroke evolution. The future developments of this technique include whole brain mapping and combination with CBF data, which can be obtained with NIRS using a dye³² or diffuse correlation spectroscopy,³³ adding interpretative value to S_tO₂ measurements. This will be a unique tool with the capacity to provide multiple or continuous measurements of stroke pathophysiologic parameters from the bedside, something that cannot be achieved using perfusion CT. This technique has the potential to measure the effects of therapy in real time. In the future, we may evolve from a one-shot picture of physiology to a finely tuned approach using time-course assessments.

Acknowledgments

This work was funded by the National Sciences and Engineering Research Council, and Alberta Innovates Health Solutions.

References

- F. Vernieri et al., "Transcranial Doppler and near-infrared spectroscopy can evaluate the hemodynamic effect of carotid artery occlusion," *Stroke* 35, 64–70 (2004).
- K. Ogasawara et al., "Transcranial regional cerebral oxygen saturation monitoring during carotid endarterectomy as a predictor of postoperative hyperperfusion," *Neurosurgery* 53, 309–314 (2003)discussion 314–305.
- S. P. Wardle, C. W. Yoxall, and A. M. Weindling, "Determinants of cerebral fractional oxygen extraction using near infrared spectroscopy in preterm neonates," *J. Cereb. Blood Flow Metab.* 20, 272–279 (2000).
- M. A. Mintun et al., "Brain oxygen utilization measured with O-15 radiotracers and positron emission tomography," *J. Nucl. Med.* 25, 177–187 (1984).
- W. J. Powers, R. L. Grubb, Jr., and M. E. Raichle, "Physiological responses to focal cerebral ischemia in humans," *Ann. Neurol.* 16, 546–552 (1984).
- W. J. Powers et al., "Cerebral blood flow and cerebral metabolic rate of oxygen requirements for cerebral function and viability in humans," *J. Cereb. Blood Flow Metab.* 5, 600–608 (1985).
- K. L. Leenders et al., "Cerebral blood flow, blood volume and oxygen utilization. Normal values and effect of age," *Brain* 113(Pt 1), 27–47 (1990)
- C. Terborg et al., "Reduced vasomotor reactivity in cerebral microangiopathy: a study with near-infrared spectroscopy and transcranial Doppler sonography," *Stroke* 31, 924–929 (2000).
- F. Vernieri et al., "Near infrared spectroscopy and transcranial Doppler in monohemispheric stroke," *Eur. Neurol.* 41, 159–162 (1999).
- D. Hargroves et al., "The influence of positioning upon cerebral oxygenation after acute stroke: a pilot study," *Age Ageing* 37, 581–585 (2008).
- H. W. Schytz et al., "Spontaneous low-frequency oscillations in cerebral vessels: applications in carotid artery disease and ischemic stroke," *J. Stroke Cerebrovasc. Dis.* 19, 465–474 (2010).
- M. J. Aries et al., "Near infrared spectroscopy for the detection of desaturations in vulnerable ischemic brain tissue: a pilot study at the stroke unit bedside," *Stroke* 43, 1134–1136 (2012).
- M. S. Damian and R. Schlosser, "Bilateral near infrared spectroscopy in space-occupying middle cerebral artery stroke," *Neurocrit. Care* 6, 165–173 (2007).
- M. Wolf et al., "Absolute frequency-domain pulse oximetry of the brain: methodology and measurements," *Adv. Exp. Med. Biol.* 530, 61–73 (2003).
- M. A. Franceschini et al., "Assessment of infant brain development with frequency-domain near-infrared spectroscopy," *Pediatr. Res.* 61, 546– 551 (2007).
- 16. A. Demel et al., "Effect of different assumptions for brain water content on absolute measures of cerebral oxygenation determined by

- frequency-domain near-infrared spectroscopy in preterm infants: an observational study," *BMC Pediatr.* **14**, 206 (2014).
- R. Yang et al., "Monitoring angiogenesis using a human compatible calibration for broadband near-infrared spectroscopy," *J. Biomed. Opt.* 18, 016011 (2013).
- C. Giffard et al., "Outcome of acutely ischemic brain tissue in prolonged middle cerebral artery occlusion: a serial positron emission tomography investigation in the baboon," *J. Cereb. Blood Flow Metab.* 24, 495–508 (2004).
- W. D. Heiss et al., "Dynamic penumbra demonstrated by sequential multitracer pet after middle cerebral artery occlusion in cats," *J. Cereb. Blood Flow Metab.* 14, 892–902 (1994).
- A. R. Young et al., "Relationships between high oxygen extraction fraction in the acute stage and final infarction in reversible middle cerebral artery occlusion: an investigation in anesthetized baboons with positron emission tomography," *J. Cereb. Blood Flow Metab.* 16, 1176–1188 (1996).
- 21. www.iss.com
- T. Durduran et al., "Diffuse optics for tissue monitoring and tomography," Rep. Prog. Phys. 73, 076701 (2010).
- H. R. Winn and J. R. Youmans, *Youmans Neurological Surgery*, Vol. 4
 W.B. Saunders, Philadelphia, Pennsylvania (Ixiv, 5296, cviii) (2004).
- Clinical and Laboratory Standards Institute, Defining, Establishing, and Verifying Reference Intervals in the Clinical Laboratory; Approved Guideline, 3rd ed., CLSI document ep28-a3c, Clinical and Laboratory Standards Institute, Wayne, Pennsylvania (2008).
- A. M. Demchuk et al., "Specific transcranial Doppler flow findings related to the presence and site of arterial occlusion," *Stroke* 31, 140–146 (2000).
- M. Wintermark et al., "Perfusion-CT assessment of infarct core and penumbra: receiver operating characteristic curve analysis in 130 patients suspected of acute hemispheric stroke," Stroke 37, 979–985 (2006).
- T. S. Leung et al., "Estimating a modified Grubb's exponent in healthy human brains with near infrared spectroscopy and transcranial Doppler," *Physiol. Meas.* 30, 1–12 (2009).
- S. Suzuki et al., "Tissue oxygenation monitor using NIR spatially resolved spectroscopy," *Proc. SPIE* 3597, 582–592 (1999).
- R. Gatto et al., "Frequency domain near-infrared spectroscopy technique in the assessment of brain oxygenation: a validation study in live subjects and cadavers," J. Neurosci. Methods 157, 274–277 (2006).

- I. Kida, D. L. Rothman, and F. Hyder, "Dynamics of changes in blood flow, volume, and oxygenation: implications for dynamic functional magnetic resonance imaging calibration," *J. Cereb. Blood Flow Metab.* 27, 690–696 (2006).
- D. A. Boas and M. A. Franceschini, "Haemoglobin oxygen saturation as a biomarker: the problem and a solution," *Philos. Trans. R. Soc. A: Math. Phys. Eng. Sci.* 369, 4407–4424 (2011).
- C. Terborg et al., "Noninvasive assessment of cerebral perfusion and oxygenation in acute ischemic stroke by near-infrared spectroscopy," *Eur. Neurol.* 62, 338–343 (2009).
- 33. R. C. Mesquita et al., "Direct measurement of tissue blood flow and metabolism with diffuse optics," *Philos. Trans. R. Soc. A: Math. Phys. Eng. Sci.* **369**, 4390–4406 (2011).

François Moreau is a stroke neurologist in Sherbrooke, Canada. He collaborates in translational research applications to the field of stroke. He also has publications on the application of MRI to the clinical management of transient ischemic attack.

Runze Yang is a PhD student in neuroscience at the University of Calgary. His research focus includes glioblastoma, multiple sclerosis, stroke, and hypoxia.

Vivek Nambiar is a stroke neurologist based Amrita vishwavidhyapeetham University Kochi India, heading the stroke unit at the teaching hospital. His interests are collateral imaging in acute stroke and endo vascular therapeutics. He had worked as a post doctoral fellow at the University of Calgary while doing the project. He has 17 publications.

Andrew M. Demchuk is the director of the Calgary Stroke Program located at the Foothills Medical Centre. His primary research interests are in the area of vascular imaging where he is trying to develop new treatments for stroke by optimally selecting patients based on imaging.

Jeff F. Dunn is a specialist in applying MRI and near-infrared spectroscopy in biomedical applications. Much of the work is in brain, regulation of oxygenation, and the impact of hypoxia on brain health. He is the director of the Experimental Imaging Centre at the University of Calgary, which houses a 9.4T MRI and a range of animal-and human-oriented optical equipment. He has 140 publications.